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<b>REQUEST FOR WITHDRAWAL AS ATTORNEY OR AGENT AND CHANGE OF CORRESPONDENCE ADDRESS</b>	<b>Application Number</b>	09/924,005
	<b>Filing Date</b>	08/07/2001
	<b>First Named Inventor</b>	Ranzini
	<b>Art Unit</b>	3696
	<b>Examiner Name</b>	Colbert, Ella
	<b>Attorney Docket Number</b>	3892-4003

**To: Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

Please withdraw me as attorney or agent for the above identified patent application, and

☒ all the practitioners of record;

☐ the practitioners (with registration numbers) of record listed on the attached paper(s); or

☐ the practitioners of record associated with Customer Number:

**NOTE:** The immediately preceding box should only be marked when the practitioners were appointed using the listed Customer Number.

The reasons for this request are:

<input type="checkbox"/> 10.40(b)(1)	<input type="checkbox"/> 10.40(b)(2)	<input type="checkbox"/> 10.40(b)(3)	<input type="checkbox"/> 10.40(b)(4)
<input type="checkbox"/> 10.40(c)(1)(i)	<input type="checkbox"/> 10.40(c)(1)(ii)	<input type="checkbox"/> 10.40(c)(1)(iii)	<input type="checkbox"/> 10.40(c)(1)(iv)
<input type="checkbox"/> 10.40(c)(1)(v)	<input type="checkbox"/> 10.40(c)(1)(vi)	<input type="checkbox"/> 10.40(c)(2)	<input type="checkbox"/> 10.40(c)(3)
<input type="checkbox"/> 10.40(c)(4)	<input type="checkbox"/> 10.40(c)(5)	<input checked="" type="checkbox"/> 10.40(c)(6) Please explain below:	

See Addendum A attached hereto.

**Certifications**

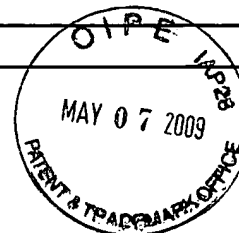
Check each box below that is factually correct. **WARNING:** If a box is left unchecked, the request will likely not be approved.

1. ☒ I/We have given reasonable notice to the client, prior to the expiration of the response period, that the practitioner(s) intend to withdraw from employment.

2. ☒ I/We have delivered to the client or a duly authorized representative of the client all papers and property (including funds) to which the client is entitled.

3. ☒ I/We have notified the client of any responses that may be due and the time frame within which the client must respond.

Please provide an explanation, if necessary:  
See Addendum B attached hereto.



[Page 1 of 2]

This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**REQUEST FOR WITHDRAWAL  
AS ATTORNEY OR AGENT  
AND CHANGE OF CORRESPONDENCE ADDRESS**

**Complete the following section only when the correspondence address will change.** Changes of address will only be accepted to an inventor or an assignee that has properly made itself of record pursuant to 37 CFR 3.71.

Change the correspondence address and direct all future correspondence to:

A. ☐ The address of the inventor or assignee associated with Customer Number:   
or

B. ☐ Inventor or  
Assignee Name

Address

City

State

Zip

Country

Telephone

Email

I am authorized to sign on behalf of myself and all withdrawing practitioners.

Signature

Name

Harry C. Marcus

Registration No.

22,390

Address

3 World Financial Center

City

New York

State NY

Zip 10281

Country

USA

Date

May 7, 2009

Telephone No.

212-415-8540

**NOTE: Withdrawal is effective when approved rather than when received.**

[Page 2 of 2]

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If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

## Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.



Application No.: 09/924,005  
Filing Date: 08/07/01  
First Named Inventor: Ranzini  
Art Unit: 3696  
Examiner Name: Colbert, Ella  
Attorney Docket No.: 3892-4003

### **ADDENDUM A**

The practitioners of record are named because they were employed as attorneys at Morgan & Finnegan LLP, when that firm was engaged to prosecute the applications. Morgan & Finnegan ceased operation and went into dissolution on January 31, 2009. On March 13, 2009, the applicant was offered the opportunity, in writing, to transfer its files to, and engage, the present law firm of some of the practitioners of record. The applicant has not elected to transfer its files to, or engage, the practitioners' present firm. Thus, the practitioners have no power or authority to act in this application and are unable to do so as their present law firm does not represent this applicant and prohibits its attorneys from representing non-clients of the firm.

### **ADDENDUM B**

1. The applicant was notified on March 13, 2009 that the practitioners of record were no longer able to act on the applicant's behalf unless the applicant authorized that its files be transferred to the practitioners' present firm and the applicant engaged the practitioners' new firm to represent it in this matter.
2. The applicant was notified on March 13, 2009 that it should direct Morgan & Finnegan LLP as to disposition of its files, and was given the option of transferring its files to the present law firm of some of the practitioners of record. It is believed that the applicant has not elected to transfer its files to any firm or practitioner. The practitioners of record have not had, and do not have, the power to deliver files in the possession of Morgan & Finnegan LLP since that firm filed a bankruptcy petition on March 17, 2009. The Trustee in Bankruptcy has that power. Thus, the practitioners of record have done everything within their power to return or transfer the applicant's files.
3. The applicant was notified on or prior to March 13, 2009 of the responses due as of that date and the pertinent time frames, including the response due May 23, 2009 in the present application. The practitioners of record have not had, and do not have, the power or ability to notify any former clients of Morgan & Finnegan LLP of any response due dates or time frames for any such responses since the firm filed a bankruptcy petition on March 17, 2009. However, the March 13, 2009 notification clearly informed the applicant that it would need to arrange for some other attorney to respond to pending and future office actions if it chose not to engage the practitioners' present law firm. The applicant was thus given ample notice that a response was due such that the applicant had adequate time to file a reply.